

# **Anfield Advisors, LLC Form ADV, Part 2B Brochure Supplement**

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This brochure supplement provides information about David Young and Peter Van de Zilver that supplements the Anfield Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact David Young if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about David Young and Peter Van de Zilver is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

# David Young, CFA

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**David Young, CFA**, Founder, Chief Executive Officer, b. 1963

### *Education:*

- Master of Business Administration, Finance & Investments, University of California Irvine, 1990
- Bachelor of Arts, Economics, University of California, Irvine, 1985
- Bachelor of Arts, Political Science, University of California, Irvine 1985

### *Business Background:*

- Anfield Capital Management, LLC  
*Founder & Chief Executive Officer (September 2009 – Present)*  
*Chief Compliance Officer (2009 - 2012)*  
*Chief Investment Officer (2009 – September 2012)*
- Anfield Advisors, LLC  
*Founder & Chief Executive Officer (September 2012 – Present)*
- Partnervest Advisory Services, LLC  
*Chief Investment Officer (October 2015 – Present)*
- Regents Park Funds, LLC  
*Founder & Chief Executive Officer (September 2017 – Present)*
- Paul Merage School of Business, UC Irvine  
*Lecturer (2009 - Present)*
- Pacific Investment Management Company, Newport Beach, CA  
*Executive Vice President, Account Management (1994 - 2008)*
- Allianz Global Investors Distributors, LLC  
*Registered Representative (1994 - 2008)*

### *Professional Designations*

David Young holds the following professional designation:

#### *Chartered Financial Analyst*

The Chartered Financial Analyst (“CFA”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program.

The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org>.

### **ITEM 3 - DISCIPLINARY INFORMATION**

David Young has no disciplinary history to disclose.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

David Young is also owner of The David Young and Sandra G. Glain Family Trust, which owns 100% of The Anfield Group, LLC. The Anfield Group, LLC is a holding company, which owns:

- The controlling interest (92%) in Anfield Capital Management, LLC.
- The controlling interest (100%) in Anfield Advisors, LLC, an SEC Registered investment Adviser providing wealth management services to private clients.
- The controlling interest (100%) in Regents Park Funds, LLC, an SEC Registered investment Adviser providing portfolio management for investment companies.

David Young spends the majority of his time in his positions with Anfield Capital Management, Anfield Advisors, and Regents Park Funds.

David Young also serves as Chief Investment Officer for other investment advisers. Mr. Young's activities in such capacity are investment related and are undertaken on behalf of Anfield Capital Management (ACM) as sub-adviser.

David Young is also a professor of investments and finance in the Merage School of Business at the University of California, Irvine, which includes all aspects of course design, delivery, examination, and grading. This accounts for approximately 10% of his time.

### **ITEM 5 - ADDITIONAL COMPENSATION**

David Young does not receive direct compensation from other investment adviser firms where Mr. Young acts as Chief Investment Officer as a sub-adviser pursuant to agreements between those firms and Anfield Capital Management. Anfield Capital Management (ACM) does receive compensation from these firms for Mr. Young's investment related services.

### **ITEM 6 - SUPERVISION**

David Young is a voting member of the firm's investment committee and receives guidance from the investment committee. ACM does employ Jon Wieman, CFA, to oversee the firm's compliance program as Chief Compliance Officer, part of which includes overseeing David Young's activities.

## Peter Van de Zilver, CFA

### ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Peter Van de Zilver, CFA**, Director of Portfolio Analytics and Risk Management, b. 1949

#### *Education:*

- Master of Arts, Economics, University of Southern California, Los Angeles, CA, 1979
- BSc, Economics, University of Amsterdam, The Netherlands, 1976
- Undergraduate studies, Physics and Mathematics, University of Utrecht, The Netherlands

#### *Business Background:*

- Anfield Capital Management, LLC  
*Director of Portfolio Analytics and Risk Management (2012 - Present)*
- Anfield Advisors, LLC  
*Portfolio Manager (August 2009 - Present)*
- Partnervest Advisory Services, LLC  
*Portfolio Manager (November 2015 – Present)*
- Regents Park Funds, LLC  
*Portfolio Manager (September 2017 – Present)*
- Home Source Mortgage, Inc.  
*Licensed Loan Originator (2010 – Present)*
- Pacific Investment Management Company  
*Vice President – Financial Engineer (1992 – 2010)*

#### *Professional Designations*

Peter Van de Zilver holds the following professional designation:

#### *Chartered Financial Analyst*

The Chartered Financial Analyst (“CFA”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program.

The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org>.

## **ITEM 3 - DISCIPLINARY INFORMATION**

Peter Van de Zilver has no disciplinary history to disclose.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Peter Van de Zilver spends the majority of his time in his positions with Anfield Capital Management, Anfield Advisors, and Regents Park Funds, which are all owned in whole or majority by The Anfield Group, LLC.

Peter Van de Zilver also serves as a licensed Loan Originator at Home Source Mortgage, Inc. This activity is not investment-related and accounts for approximately 5% of Mr. Van de Zilver's time during trading hours. Interaction between Home Source Mortgage, Inc. and Anfield clients is not anticipated. Therefore, it is unlikely this activity would present a material conflict of interest with Anfield clients.

Peter Van de Zilver does not receive direct compensation from other investment adviser firms where he serves as Portfolio Manager as a sub-adviser pursuant to agreements between those firms and Anfield Capital Management. Anfield Capital Management (ACM) does receive compensation from these firms for such services. Mr. Van de Zilver's activities are investment related and are undertaken on behalf of Anfield Capital Management (ACM).

## **ITEM 5 - ADDITIONAL COMPENSATION**

Peter Van de Zilver's primary compensation comes from his employment with Anfield. Peter Van de Zilver may also receive compensation in the form of a bonus from Anfield at the firm's discretion. The bonus amounts may vary, and the amount of new accounts and client referrals can be used as a factor to determine the amount of the bonus.

Peter Van de Zilver also receives compensation for his work at Home Source Mortgage, Inc. The compensation that Mr. Van de Zilver receives may vary.

Peter Van de Zilver does not receive direct compensation from other investment adviser firms where he serves as Portfolio Manager as a sub-adviser pursuant to agreements between those firms and Anfield Capital Management. Anfield Capital Management (ACM) does receive compensation from these firms for such services. Mr. Van de Zilver's activities are investment related and are undertaken on behalf of Anfield Capital Management (ACM).

## **ITEM 6 - SUPERVISION**

David Young, Chief Executive Officer, is responsible for supervising Peter Van de Zilver's activities. David Young monitors the advice provided by Peter Van de Zilver's for consistency with client objectives and Anfield's policies. David Young can be reached by calling (949) 891-0600.